DOCKET NO.: MSFT-0109/127334.9



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marcus Peinado; Paul England; Ramarathnam Venkatesan

Serial No.:

09/482,840

Group Art Unit: 2768

Filing Date: January 13, 2000

Examiner: Not Yet Assigned

METHOD FOR OBTAINING A BLACK BOX FOR PERFORMING

DECRYPTION AND ENCRYPTION FUNCTIONS IN A DIGITAL RIGHTS

MANAGEMENT (DRM) SYSTEM

DATE OF DEPOSIT:

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO OFFICE OF INITIAL PATENT EXAMINATION, CUSTOMER SERVICE CENTER, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 2023

TYPED NAME: STEVEN H. MEYER

REGISTRATION NO.: 37,189

Office of Initial Patent Examination Customer Service Center **Assistant Commissioner for Patents** Washington DC 20231 Attention:

REQUEST FOR CORRECTED FILING RECEIPT

- 1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is respectfully requested. The requested changes are noted thereon, as well as listed below.
- 2. There is an error with respect to the following data which is:
 - \boxtimes incorrectly entered; and/or

omitted.

	9 •	10	
Error in	Correct data		MAY 23
Applicant's names. Only the inventors listed herein under "Correct Data" should be listed on the corrected Filing Receipt.	1. Marcus Peinado 2. Paul England 3. Ramarathnam Venkatesan	IL ROOM	2000
Also, please note the correct spelling of the 3 rd inventor's name [no extra "n" before the "th"]: Ramarathnam Venkatesan			

A copy of the unexecuted Supplemental Declaration and Power of Attorney filed with the application on January 13, 2000, and listing the inventors set forth above, is enclosed herewith.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

Date:

Apr. (21, 2000)

Woodcock Washburn Kurtz Mackiewicz & Norris LLP

One Liberty Place - 46th Floor

Philadelphia PA 19103 Telephone: (215) 568-3100

Facsimile: (215) 568-3439

Registration No. 37,189

C 1997 WWKMN

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND

Washington, D.C. 20231

COMMISSIONER OF PATENT AND TRADEMARKS

FILING RECEIPT

OC000000004990510

TOT APPLICATION NUMBER FILING DATE **GRP ART UNIT** FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** IND CLAIMS CLAIMS 09/482,840 01/13/2000 2768 1854 MSFT-0109/127334.9 12 RECEIVED Steven H Meyer WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP One Liberty Place 46th Floor Philadelphia, PA 19103 Date Mailed: 03/13/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE. NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

— Marcus Peinado, Bellevue, WA ; Rajasekhar-Abburi, Medina, WA:

Arnold-N. Blinn, Bellevue, WA :-

Thomas C. Jones, Redmond, WA

John L. Manferdelli, Redmond, WA;

Jeffrey R.C. Bell, Seattle, WA:

-Ramaranthaam-Venkatesan, Redmond, WA ;

Paul England, Bellevue, WA;

Mariusz-H: Jakubowski, Bellevue, WA; Hai Ying Vincent Yu, Bellevue, WA.;

amaraihnam Venka Tesan

THIS APPLICATION IS A CON OF 09/290,363 04/12/1999 WHICH IS A PRO OF 60/126,614 03/27/1999

Foreign Applications

Foreign filing license granted on 03/13/2000

Continuing Data as Claimed by Applicant

Title

Method For Obtaining A Black Box For Performing Decryption And Encryption Functions In A Digital . hts Management (DRM) System

Reduced WA

Preliminary Class

705

Data entry by: THOMAS, SHEILA

Team: OIPE

Date: 03/13/2000

- I ICONO BUNK BORN BORN IBBIK BORN BORN IKAN BIRDI KAND KORN BORN BORN BORN BORN BORN BIRDI HADD HINI BORN BORN BORN BORN

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15



GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the
 Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt
 along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:



Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231





Bib Data Sheet



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBER 09/482,840	FILING DATE 01/13/2000	CLASS	GROUP ART UNIT		ATTORNEY DOCKET NO.				
09/402,040	RULE _	705	'	2768	5	MSFT	-0109/	127334.9	
APPLICANTS		<u> </u>	<u> </u>			<u> </u>	킁	***************************************	
Paul England Ramarathnan ** CONTINUING DA THIS APPLIC, WHICH CLAIN ** FOREIGN APPLI	ATION IS A CON OF 09/2 MS BENEFIT OF 60/126,	** 290,363 04/12/1999					2700 MAIL ROOM	MAY 23 2000	
GRANTED ** 03/13	REIGN FILING LICENSE /2000	**	***************************************						
Foreign Priority claimed 35 USC 119 (a-d) condition met Verified and Acknowledged	Allowance	state of Country Notitials	Y DRA	EETS AWING 12	CL	TAL AIMS 76	3	ENDENT AIMS	
ADDRESS — Steven H Meyer WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP One Liberty Place 46th Floor Philadelphia ,PA 19103									
TITLE Method For Obtaining A Black Box For Performing Decryption And Encryption Functions In A Digital Rights Management (DRM) System									
***************************************			***************************************	All Fees					
	FEES: Authority has been give No to charge/cred No for following:		***************************************	□ _{1.16}	Fees	Fees (Filing)			
FILING FEE FEI RECEIVED No.		ven in Paper edit DEPOSIT ACCO	UNT	1.17 Fees (Processing Ext. of time)					
1854 No.				1.18 Fees (Issue) Other					
			li-			Credit			